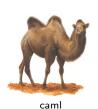
#### Small families

(at INRIA with Gérard and in the historical  $\lambda$ -calculus)

Jean-Jacques Lévy

INRIA Rocquencourt and Microsoft Research-INRIA Joint Centre

June 22, 2007







sixty years is 31,557,600 minutes and one minute is a long time ..........





# let us dem nstrate



# let us dem nstrate











he passes the baccalauréat.



he writes his first program



and discovers functional programming.



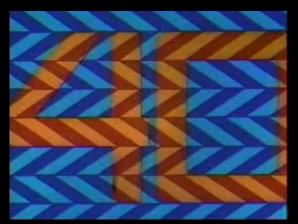
he now is an attractive researcher



and starts a glorious academic life.







he passes 40 without care  $\cdots$ 



 $\cdots$  still some hope  $\cdots$ 



· · · getting anxious · · ·



 $\cdots \text{ and done } !$ 

#### Plan

- strong normalisation
- finite developments
- redex families
- generalised finite developments
- conclusion

# **Strong normalisation**



## Typed $\lambda$ -calculus

#### Theorem (Church-Rosser)

The typed  $\lambda$ -calculus is confluent.

and

#### Theorem (strong normalization)

In typed  $\lambda$ -calculus, there are no infinite reductions.

True at 1st order (Curry/Church), 2nd order (system F),  $\cdots$  60th order and even more ( $F_{\omega}$ , Coq).

#### Corollary

The typed  $\lambda$ -calculus is a canonical system.

The classical  $\lambda$ -calculus is confluent but provides infinite reductions :

let  $\Delta = \lambda x.xx$ , then  $\Omega = \Delta \Delta \to \Delta \Delta = \Omega$ .

## Hyland-Wadsworth's $D_{\infty}$ -like $\lambda$ -calculus

The idea is that  $f_{n+1}(x) = (f(x_n))_n$  for  $f, x \in D_{\infty}$ 

For the  $\lambda$ -calculus :

labels 
$$m, n, p \ge 0$$
 expressions  $M, N := x^n \mid (MN)^n \mid (\lambda x.M)^n$   $\beta$ -conversion  $((\lambda x.M)^{n+1}N)^p \to M\{x := N_{[n]}\}_{[n][p]}$ 

projection 
$$x_{[n]}^m = x^p$$
 substitution  $x^n\{x := P\} = P_{[n]}$  
$$(MN)_{[n]}^m = (MN)^p \qquad (MN)^n\{x := P\} = (M\{x := P\}N\{x := P\})^n$$
 
$$(\lambda x.M)_{[n]}^m = (\lambda x.M)^p \qquad (\lambda y.M)^n\{x := P\} = (\lambda y.M\{x := P\})^n$$
 where  $p = |m, n|$ 

Notice that  $((\lambda x.x^{58})^0y^{59})^{60}$  is in normal form.

## Hyland-Wadsworth's $D_{\infty}$ -like $\lambda$ -calculus

Let 
$$\Omega_n = (\Delta_n \Delta_n)^n$$
,  $\Delta_n = (\lambda x.(x^{60}x^{60})^{60})^n$ 

$$\Omega_{60} = egin{pmatrix} 60 & & & & 59 & \\ \hline \lambda x & \lambda x & & & & \lambda x \\ \hline 60 & 60 & & & & 60 & 60 \\ \hline x & x & x & x & x & x & x & x \end{bmatrix} = \Omega_{59}$$

Then

$$\Omega_{60} o \Omega_{59} o \Omega_{58} o \cdots \Omega_1 o \Omega_0$$
 in normal form

## Up-to-60

$$β$$
-conversion  $((λx.M)^nN)^p \to M\{x := N_{[n+1]}\}_{[n+1][p]}$  when  $n \le 60$ 

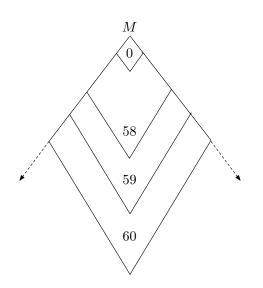
elevation 
$$x_{[n]}^m = x^p$$
 substitution  $x^n\{x := P\} = P_{[n]}$  
$$(MN)_{[n]}^m = (MN)^p \qquad \qquad (MN)^n\{x := P\} = (M\{x := P\}N\{x := P\})^n$$
 
$$(\lambda x.M)_{[n]}^m = (\lambda x.M)^p \qquad \qquad (\lambda y.M)^n\{x := P\} = (\lambda y.M\{x := P\})^n$$
 where  $p = \lceil m, n \rceil$ 

#### Theorem (Church-Rosser+SN)

Hyland-Wadsworth and up-to-60 calculi are canonical systems.

Comes from associativity of min/max since  $\lfloor m, \lfloor n, p \rfloor \rfloor = \lfloor \lfloor m, n \rfloor, p \rfloor$ , and residuals keep degrees.

## Compactness and canonical systems



Any reduction graph  $\mathcal{R}(M)$  can be approximated by an increasing chain of reduction graphs  $\mathcal{R}_0(M)$ ,  $\mathcal{R}_1(M)$ , ...  $\mathcal{R}_{58}(M)$ ,  $\mathcal{R}_{59}(M)$ ,  $\mathcal{R}_{60}(M)$ , ... of canonical systems.

# Finite developments



## Finite developments

Reductions of a set  ${\mathcal F}$  of redexes in M are described by :

- ullet putting 0 on degrees of redexes in  ${\mathcal F}$ ,
- putting 60 on degrees of other redexes,
- applying the *up-to-1* calculus.

Theorem (finite developments — lemma of parallel moves)

There are no infinite reductions of a set  $\mathcal{F}$  of redexes in M. All developments end on same term.

Proof : obvious since *up-to-1* is a canonical system.

Theorem (finite developments+ — the cube lemma)

The notion of residuals is consistent with finite developments.

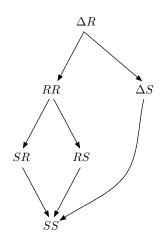
#### Created redexes

- Let M<sub>0</sub> have all subterms labeled by 0, let M<sub>0</sub> → N and R redex in N of non-zero degree, then R is new redex (or created redex)
- Let  $M=(\lambda x.x)(\lambda x.x)y$ Then  $M_0=(((\lambda x.x^0)^0(\lambda x.x^0)^0)^0y^0)^0\to ((\lambda x.x^0)^1y^0)^0$
- Let  $\Omega=(\lambda x.xx)(\lambda x.xx)$ Then  $\Omega_0=(\Delta^0\Delta^0)^0\to (\Delta^1\Delta^1)^1\to (\Delta^2\Delta^2)^2\to \cdots (\Delta^{60}\Delta^{60})^{60}\to \cdots$ where  $\Delta^n=(\lambda x.(x^0x^0)^0)^n$
- redexes created (degree 0), redexes created by redex(es) created (degree 1), ... chains of creations. [event structures of  $\lambda$ -calculus]

## **Redex families**



#### Residuals and creation



Let redex R create redex S.

All R redexes are residuals of R redex in initial  $\Delta R$ .

The S created redexes are not all residuals of a unique S.

But the S redexes are only connected by a zigzag of residuals.

Furthermore the S redexes are created in a "same way" by residuals of a same R-redex.

#### The historical $\lambda$ -calculus – 1

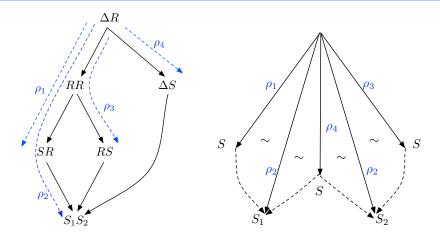
• Let  $\rho$  be reduction  $M_0 \stackrel{R_1}{\to} M_1 \stackrel{R_2}{\to} \cdots \stackrel{R_{60}}{\to} \cdots \stackrel{R_n}{\to} M_n$  and let R be a redex in  $M_n$ .

#### Definition

We write  $\langle \rho, R \rangle$  when R is a redex in the final term of  $\rho$ . We say R has history  $\rho$ .

- The historical redexes  $\langle \rho, R \rangle$  and  $\langle \sigma, S \rangle$  are in a same family if connected by previous zigzag.
- ullet Histories are considered up to permutation equivalence  $\sim$  on reductions.

### The historical $\lambda$ -calculus – 2



$$\langle \rho_1, S \rangle \simeq \langle \rho_2, S_1 \rangle \simeq \langle \rho_4, S \rangle \simeq \langle \rho_2, S_2 \rangle \simeq \langle \rho_3, S \rangle$$

The family equivalence between historical redexes is the symmetric, transitive, reflexive closure or the residual relation.

## The historical $\lambda$ -calculus – 3

families of redexes by naming scheme of the labeled  $\lambda$ -calculus.

letters 
$$a,b,c$$
 labels  $\alpha,\beta,\gamma:=a\mid\alpha\lceil\beta\rceil\gamma\mid\alpha\lfloor\beta\rfloor\gamma$  expressions  $M,N:=x^\alpha\mid(MN)^\alpha\mid(\lambda x.M)^\alpha$ 

$$(h(\alpha) \le 60) \ \beta$$
-conversion  $((\lambda x.M)^{\alpha}N)^{\beta} \to \beta \cdot [\alpha] \cdot M\{x := \lfloor \alpha \rfloor \cdot N\}$ 

concat 
$$\alpha \cdot x^{\beta} = x^{\alpha\beta}$$
 substitution  $x^{\alpha}\{x := P\} = \alpha \cdot P$  
$$\alpha \cdot (MN)^{\beta} = (MN)^{\alpha\beta} \qquad (MN)^{\alpha}\{x := P\} = (M\{x := P\}N\{x := P\})^{\alpha}$$
 
$$\alpha \cdot (\lambda x. M)^{\beta} = (\lambda x. M)^{\alpha\beta} \qquad (\lambda y. M)^{\alpha}\{x := P\} = (\lambda y. M\{x := P\})^{\alpha}$$

#### Theorem (Church-Rosser+SN)

The labeled (60 bounded) labeled  $\lambda$ -calculus is a canonical systems.

Comes from associativity of concatenation since  $\alpha(\beta\gamma) = (\alpha\beta)\gamma$ 

## **Generalized Finite Developments**



## Finite developments revisited

## Theorem (generalised finite developments – square lemma)

There are no infinite reductions of a finite set  $\mathcal{F}$  of families in the reduction graph of M. All development of  $\mathcal{F}$  end on same term.

Proof : obvious since *up-to-N* strongly normalises where N is the maximum degree of redexes in  $\mathcal{F}$ . For instance N=60.

### Theorem (finite developments+ — the cube lemma)

The notion of residuals of reductions (and hence of redex families) is consistent with generalised finite developments.

#### Corollary

A  $\lambda$ -term is strongly normalizable iff he only can create a finite number of redex families.

## Finite chains of families creation

Only 3 cases of redex creations :

- **3**  $(\lambda x.x)(\lambda y.M)N \rightarrow (\lambda y.M)N$

In 1st-order typed  $\lambda$ -calculus :

In Hyland-Wadsworth's  $\lambda$ -calculus :

- - $((\lambda x.\lambda y.M)^{n+1}N)P \to (\lambda y.M')^n P$
  - $(\lambda x.x)^{n+1}(\lambda y.M)N \to (\lambda y.M)^nN$

Same in up-to-60  $\lambda$ -calculus . . . .



## **Conclusion**



#### Conclusion

- no infinite chain of creations is equivalent to strong normalisation.
- redex families exist also in TRS and many other reduction systems.
  E.g. redo it as permutation equivalences were treated in the almost everywhere rejected paper [Huet, Lévy 80]
- redo SN without the Tait/Girard reductibility incomprehensible reductibility method (with candidates or not).
- causality in reduction systems correspond to dependency, and can be useful for information flow, security – integrity properties. [Tomasz Blanc 06]
- $\bullet$  understand more of the  $\lambda\text{-calculus}$  to be able to treat "real complex systems"

## Future work

#### **OBJECTIVE**

